MR3522: Remote Sensing of the Atmosphere and Ocean

Introduction to Microwave Radiative Transfer

Main Topics

- Frequency vs wavelength
- Simplifying Planck's Law
- Ocean emissivity
- Absorption and scattering of microwaves

Atmospheric Absorption Spectra



IR vs. Microwave (MW) Remote Sensing

- Microwave radiation can penetrate clouds, air molecules, aerosols, rain, vegetation, and limited layers of liquid water (like the sea surface), and soil (especially dry soil). IR is scattered/absorbed by hydrometeors.
- However, emittance at MW is much less than at IR (think of the Planck curve at 300 K), so a larger aperture sensor is required to achieve the same spatial resolution at MW as in IR. Therefore, MW must be in LEO to achieve acceptable spatial resolution. (Although GEO MW sensors may be on the way soon!)
- Consequently, temporal resolution at MW for a single instrument is 1–2 days. Passive IR sensors in geostationary can provide up to 30 second temporal resolution.

In the microwave part of the EM spectrum...

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we typically work with frequency (v)
rather than wavelength as the spectral variable
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the frequencies are small enough (or wavelengths long enough) so that the Planck function can be simplified into a linear function:

$$B_{\lambda}(T) = \frac{2\hbar c^{2}\lambda^{-5}}{e^{\frac{\hbar c}{\lambda kT}} - 1} \qquad \text{if } hc/\lambda kT << 1, \text{ then use } e^{x} + x \text{ (for small x)} \\ \text{true for } \lambda > 0.5 \text{ cm or } v < 60 \text{ GHz, when } T \sim 300 \text{ K} \\ B(\lambda,T) \sim (2ck/\lambda^{4}) \text{ T} \\ B(v,T) = c/v^{2} B(\lambda,T) = (2kv^{2}/c^{2}) \text{ T} \qquad \text{Linear with } T$$

How does this help our interpretation of radiative transfer at MW frequencies?

Our simplest solution: $L = \varepsilon_s B(v,T) = (2kv^2/c^2) \varepsilon_s T$

Let's define Brightness Temperature, $T_B = L c^2/2kv^2$

Then, $T_B = \varepsilon_s T$ (temperature of the sfc if a blackbody)

... so in RT solution can substitute T_B for radiance, and T for B(v,T) everywhere



Surface radiance = emission + reflectance

Sources (path radiance) by emission only

$$L_{t}(v, \theta, \varphi) = L_{0}(v, \theta, \varphi)\tau_{d}(v) + \int_{t_{a}}^{t} B(v, T(p)) d\tau_{d}$$
Radiance emitted by the atmosphere
out the top (path radiance):
$$\int_{t_{a}}^{t} B(v, T(p)) d\tau_{d} = B(v, T_{a})[1 - \tau_{d}(v)]$$

$$1 - \tau_{d}(v) = \sigma_{a}(v) \text{ (since there is no} \\ atmospheric reflectance)} = \varepsilon_{a}(v)$$
(note this is also the radiance emitted by the atmosphere out the bottom)

The total radiance at the top of the atmosphere then becomes:

 $L_{t}(\nu, \theta, \phi) = \varepsilon_{s}(\nu, \theta, T_{s}, S)B(\nu, T_{s})\tau_{d}(\nu) +$ Radiance emitted by the surface, transmitted to top

$$[1 - \epsilon_{s}(\nu, \theta, T_{s}, S)]B(\nu, T_{s})[1 - \tau_{d}(\nu)]\tau_{d}(\nu) +$$

Radiance emitted by the atmosphere out the bottom, reflected off surface, transmitted to top

Radiance emitted by the atmosphere out the top

(notice surface emittance depends on temperature and salinity, S - more on this later)

If we multiply by $c^2/2kv^2$...

$$\begin{split} \mathsf{T}_{_{B}}(\nu,\theta,\phi) &= \varepsilon_{_{s}}(\nu,\theta,\mathsf{T}_{_{s}},\mathsf{S})\mathsf{T}_{_{s}}\tau_{_{d}}(\nu) + \\ & \left[1 - \varepsilon_{_{s}}(\nu,\theta,\mathsf{T}_{_{s}},\mathsf{S})\right]\mathsf{T}_{_{s}}\left[1 - \tau_{_{d}}(\nu)\right]\tau_{_{d}}(\nu) + \\ & \mathsf{T}_{_{s}}\left[1 - \tau_{_{d}}(\nu)\right] \end{split}$$



Two gases contribute to the atmospheric Absorption in MW part of spectrum:

 $H_2O_{(v)}$ and O_2



What is the influence of **clouds**?

Interactions with particles in the atmosphere are controlled by their size therefore molecules and aerosol particles have negligible interaction with MW photons

Interactions with cloud drops depend on their size. For Mie scattering the critical radius (needed for significant interaction) can be defined as $r_c = \lambda/2\pi$



Precipitation

As cloud droplets grow to precipitation size, optical depth increases.



FIGURE 9.16. Brightness temperature versus rain rate for three frequencies. [After Spencer et al. (1989).]



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Microwave polarization and SSMIS

Main Topics

- Polarization of radiation
- Dependence of ocean emissivity on polarization
- SSMIS derived products
- Microwave sounder
- Spatial resolution of microwave data

Module 4.2

Polarization of Radiation



- Polarization of radiation appears in two basic components: horizontal and vertical.
- Various linear combinations of these polarizations can lead to elliptical or circular polarizations, or other slanted linear polarizations
- Passive MW and radar take advantage of both horizontal and vertical polarization of radiation.



Emittance of ocean changes based on polarization of radiation.



FIGURE 9.17. (a) Nadir emittance of a smooth ocean surface as a function of sea surface temperature. (b) Emittance of a smooth ocean surface as a function of zenith angle. [After Kidder (1979).]



19v





19v

19h





19h 22v

19v







37v





19v 19h 22v

37v

37h





19h 22v 37v

37h

85v





19h 22v 37v

19v

37h

85v

85h





Fig. 2. SSMIS scan geometry showing direction of active scan, swath width, ground track, and footprint averages.

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Spatial Resolution



| Channel | Center Freq. (Ghz) | Passband (Mhz) | Freq. (MHz) / <u>Polarization</u> | NEDT(Max)(K) | Sampling Interval (km) | Footprint (km) |
|---------|------------------------------|----------------|--------------------------------------|--------------|---------------------------|-----------------------|
| 1 | 50.3 | 400 | 10 H | 0.4 | 37.5 | 38 x 38 |
| 2 | 52.8 | 400 | 10 H | 0.4 | 37.5 | 38 x 38 |
| 3 | 53.596 | 400 | 10 H | 0.4 | 37.5 | 38 x 38 |
| 4 | 54.4 | 400 | 10 H | 0.4 | 37.5 | 38 x 38 |
| 5 | 55.5 | 400 | 10 H | 0.4 | 37.5 | 38 x 38 |
| 6 | 57.29 | 350 | 10 RCP(*) | 0.5 | 37.5 | 38 x 38 |
| 7 | 59.4 | 250 | 10 RCP | 0.6 | 37.5 | 38 x 38 |
| 8 | 150 | 1500 | 200 H | 0.88 | 37.5 | 14 x 13 (imager) |
| 9 | 183.31±6.6 | 1500 | 200 H | 1.2 | 37.5 | 14 x 13 (imager) |
| 10 | 183.31±3 | 1000 | 200 H | 1.0 | 37.5 | 14 x 13 (imager) |
| 11 | 183.31±1 | 500 | 200 H | 1.25 | 37.5 | 14 x 13 (imager) |
| 12 | 19.35 | 400 | 75 H | 0.7 | 25 | 73 x 47 |
| 13 | 19.35 | 400 | 75 V | 0.7 | 25 | 73 x 47 |
| 14 | 22.235 | 400 | 75 V | 0.7 | 25 | 73 x 47 |
| 15 | 37 | 1500 | 75 H | 0.5 | 25 | 41 x 31 |
| 16 | 37 | 1500 | 75 V | 0.5 | 25 | 41 x 31 |
| 17 | 91.655 | 3000 | 100 V | 0.9 | 12.5 | 14 x 13 (imager) |
| 18 | 91.655 | 3000 | 100 H | 0.9 | 12.5 | 14 x 13 (imager) |
| 19 | 63.283248±0.2852 71 | 3 | 0.08 RCP | 2.4 | 75 | 75 x 75 |
| 20 | 60.792668±0.3578 92 | 3 | 0.08 RCP | 2.4 | 75 | 75 x 75 |
| 21 | 60.792668±0.3578 92±0.002 | 6 | 0.08 RCP | 1.8 | 75 | 75 x 75 |
| 22 | 60.792668±0.3578 92±0.006 | 12 | 0.12 RCP | 1.0 | 75 | 75 x 75 |
| 23 | 60.792668±0.3578 92±0.016 | 32 | 0.34 RCP | 0.6 | 75 | 75 x 75 |
| 24 | 60.792668±0.3578 92±0.050 | 120 | 0.84 RCP | 0.7 | 37.5 | 75 x 75 ¹³ |

SSMI/S Weighting Functions

Islam et al. (2014; IEEE)



SSMI/S Weighting Functions



Kunkee et al. (2008)



Remote Sensing Systems: www.remss.com









Univ. of Wisconsin CIMSS: http://tropic.ssec.wisc.edu/realtime/mtpw2/product.php?color_type=tpw_nrl_colors&prod=global2×pan=24hrs&anim= html5





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Interpreting Microwave Brightness Temperatures

Main Topics

- Locating clear-sky vs clouds
- Ambiguities in MW brightness temperature



| | Y (642) | Completely Ory (CD) | Moderately Dry (MD) | Moist (m) | Convection (DC) | VICHA |
|----|---------|---------------------------|---------------------------|--------------|--------------------|-------|
| | 19 | 1 | 0.75 | 0.65 | 0.2 | 19 |
| - | 22 | 1 | 0.5 | 0.15 | 0.05 | 22 |
| - | 37 | 1 | 0.675 | 0.5 | 0.1 | 37 |
| R. | 92 | 1 | 0.4 | 0.1 | 0 | 92 |










Realistic Artmosphere To 19 = TEZZ = (19) T832 = (71) TBQ2 -(3-7) (92) $T_{_{B}}(\nu,\theta,\phi) = \epsilon_{_{s}}(\nu,\theta,T_{_{s}},S)T_{_{s}}\tau_{_{d}}(\nu) +$ $\big[1 - \epsilon_{_{s}}(\nu, \theta, \mathsf{T}_{_{s}}, \mathsf{S})\big]\mathsf{T}_{_{a}}\big[1 - \tau_{_{d}}(\nu)\big]\tau_{_{d}}(\nu) +$ $T_{a}[1 - \tau_{d}(\nu)]$ Td=1 P DC N This equation doesn't work now because it requires a homogenous atmosphere. Need to integrate last two terms over $d\tau_d$. 200k 3000 270 Es and Ts same us idealized. 7

| | | Th | | | | | Ta | (K) | |
|---------|---------------------------|----------------------------|--------------|--------------------|---|--------|--|-----|-----|
| Y (642) | Completely Ory (CD) | Moderately Dryg (MD) | Moist (m) | Convection (DC) | _ | V(CHZ) | CP | MP | m |
| 19 | 1 | 0.75 | 0.65 | 0.2 | | 19 | | 295 | 280 |
| 22 | 1 | 0.5 | 0.15 | 0.05 | | 22 | Mahay (2023) C. Shakaba a | 275 | 260 |
| 37 | 1 | 0.675 | 0.5 | 0.1 | | 37 | | 280 | 275 |
| 92 | 1 | 0.4 | 0.1 | 0 | , | 92 | former the distory of a single state of the si | 270 | 260 |

pc



Realistic To 19 -Atmosphere 120K 196K 214K 261K (19) TB22 = 130K 242K 259K 256K TB32 = 140K 218K 244K (71) 261K TB92 -180K 260K (3-7) 261K 190K (92) $T_{_{B}}(\nu, \theta, \phi) = \epsilon_{_{s}}(\nu, \theta, T_{_{s}}, S)T_{_{s}}\tau_{_{d}}(\nu) +$ $[1 - \varepsilon_s(\nu, \theta, T_s, S)]T_a[1 - \tau_d(\nu)]\tau_d(\nu) +$ $T_{_{a}}\big[1-\tau_{_{d}}(\nu)\big]$ TIEl P DC M Plugging in "estimated" values of T_a in linear function. Not actually correct! But OK for demonstration. 200k 3000 270 same us idealized. E. and T. 9







FIGURE 9.16. Brightness temperature versus rain rate for three frequencies. [After Spencer et al. (1989).]

GMI Brightness Temperatures



Example convective outbreak in Texas at 2225 UTC 26 May 2015. (a) Ground-based radar reflectivity mosaic. GMI (b) 37-, (c) 19-, and (d) 10-GHz vertically polarized brightness temperatures. Contour interval in (b)–(d) is 25 K, with thick contours every 50 K, and the minimum brightness temperature in the domain is printed in the panel title.

91GHz (H)



37GHz (H)



91GHz (PCT)



 $PCT_f = (1 + \Theta(f))T_{B,V}(f) - \Theta(f)T_{B,H}(f)$



0 25 50 75 100 125 150 175 200 225 250 275 300 K

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^{0 25 50 75 100 125 150 175 200 225 250 275 300} K



Module 4.4

Wind Speed

At MW frequencies, emittance depends on polarization (vertical > horizontal)



FIGURE 9.17. (a) Nadir emittance of a smooth ocean surface as a function of sea surface temperature. (b) Emittance of a smooth ocean surface as a function of zenith angle. [After Kidder (1979).]

For a wind roughened ocean at 50°, emittance increases with wind speed for horizontal polarization,

while emittance **does not change** with wind speed for vertical polarization



FIGURE 7.7. Calculated emittance at 19.4 GHz of a wind-roughened ocean surface as a function of incidence angle (measured from vertical): (a) horizontal polarization, (b) vertical polarization. [After Stogryn (1967). © 1967 IEEE.]

Passive Wind Speed Retrievals

- Microwave passive sensors can detect wind speed, but they cannot deduce wind direction unless under specific conditions (high wind speed; little cloud cover), and with dual- or multi-polarization.
- SSMI/S for example (see title slide) uses 19 through 37 GHz bands for its wind speed retrieval.
 - Why not 85 or 92 GHz?
- Consider the equation for microwave brightness temperature again:

$$\begin{split} \mathsf{T}_{_{B}}(\nu,\theta,\phi) &= \varepsilon_{_{s}}(\nu,\theta,\mathsf{T}_{_{s}},\mathsf{S})\mathsf{T}_{_{s}}\tau_{_{d}}(\nu) + \\ & \left[1 - \varepsilon_{_{s}}(\nu,\theta,\mathsf{T}_{_{s}},\mathsf{S})\right]\mathsf{T}_{_{s}}\left[1 - \tau_{_{d}}(\nu)\right]\tau_{_{d}}(\nu) + \\ & \mathsf{T}_{_{s}}\left[1 - \tau_{_{d}}(\nu)\right] \end{split}$$

We only know T_B from the satellite instrument! However, we can use various microwave bands to determine water vapor and liquid water concentration (which affect τ_d), temperature profiles (T_a), and sea surface temperature (T_s). This will permit a unique value of ε_s that can be converted to a wind speed.



Fig. 3. Wind-induced ocean surface emissivity near the reference temperature $T_{ref} = 20$ °C for the 6.8 GHz v-pol (lower curves) and h-pol (upper curves) channels at EIA 53.8 ° from WindSat TB measurements. The solid lines are the model functions from Section IV of this paper. The red squares/blue diamonds are the results from the analysis of set WS1/set WS2 of Table I, if WindSat (QuikScat) wind speeds are used for binning. For clarity, only every second error bar is displayed. The green circles in the left panel are the results from [13] using HRD wind speeds (set WS3 from Table I). The dashed lines are linear fits to these data above 20 m/s. The right panel magnifies the low wind speed region of data set WS1. The red dashed lines in the right panel are the linear fits to the red squares in the wind speed interval 0–3.5 m/s. The emissivities have been multiplied by a typical surface temperature of 290 K.



6.8 and 23.8 GHz bands are highly sensitive to SST and water vapor concentration, allowing use of other three channels to deduce wind speed.

Polarimetry used to determine wind direction.

- Use of H and V polarizations from two angles—one ahead and one behind the satellite.
- Single view of scene using H and V polarizations and correlation between their electric field vector (Stokes parameters).
 - Ineffective at light wind speeds

Image updated at: August 08 2020 19:58:41 (UTC)



WindSat





wndmi_fws_d20200808_lon152E_174E_lat60S_50S

Bistatic scatterometry

- Scatterometry yields wind speed *and* direction.
- CYGNSS (Cyclone Global Navigation Satellite System); 8 small satellites (<30 kg each in constellation)
- Detects reflection of L-band (1–2 GHz) GPS signal off of Earth's surface.
- L-band not attenuated by water vapor or liquid water. *Can see through clouds!*



NASA



Bistatic scatterometry





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Scatterometers

Main Topics

- Active vs passive sensing
- Bragg scatter
- Ambiguities in determination of wind vectors

Passive sensors detect EM radiation from some observed source.

Active sensors emit EM radiation and observe that scattered toward the sensor.

- Scatterometry works, fundamentally, by measuring the backscatter off of the surface. We're not looking for emissions from the ocean anymore; instead, we're observing the scattering properties of the ocean surface.
- The backscatter from the ocean surface changes as a function of surface roughness, which itself depends on wind speed.

Power flux density at a radar antenna:

Multiply by the effective area of the antenna (A_{eff}) to get the total received power:

For now, we are interested in what effects σ .

 σ is the link to the surface properties for scatterometry:

$$\sigma = \int_A \sigma_0 dA$$

 σ_{o} is the dimensionless cross-section/area and depends on scattering characteristics of the surface

$$S_r = \frac{\sigma G P_t}{16\pi^2 r^4}$$

$$P_r = S_r A_{eff} = \frac{\sigma G P_t A_{eff}}{16\pi^2 r^4}$$



Scattering cross Section, σ_o

There are two primary mechanisms which contribute to σ_o

(1) Specular Reflection



Important for θ < 20° (there are almost no wave slopes > 25°)

(2) Resonant (Bragg) Scatter

 θ > 20° (occurs at all θ but dominates here) off-nadir so polarization is important Ocean wave-length of importance:

$$\lambda_w = \frac{n\lambda}{2\sin\theta}$$



 σ_o depends on:

- polarization
- wavelength
- incidence angle
- wave spectrum (are there enough waves with λ_w wavelength?) and wave spectrum in 2-D
- projection of λ_w fronts along line of sight



 σ_0 increases with increasing wind speed for scatterometer angles



Figure 12.2 Scattering cross section per unit area of the sea for 13.96 GHz vertically polarized signals, as a function of incidence angle and wind speed (from Jones, Wentz, and Schroeder, 1978).

Bragg scattering



BEAUFORT FORCE 0 WIND SPEED: LESS THAN 1 KNOT SEA: SEA LIKE A MIRROR



BEAUFORT FORCE 3 WIND SPEED: 7-10 KNOTS SEA: WAVE HEIGHT 2-3 FT, LARGE WAVELETS, CRESTS BEGIN TO BREAK, ANY FORM HAS GLASSY APPEARANCE, SCATTERED WHITE CAPS



BEAUFORT FORCE 6 WIND SPEED: 22-27 KNOTS SEA: WAVE HEIGHT 9.5-13 FT, LARGER WAVES BEGIN TO FORM, SPRAY IS PRESENT, WHITE FOAM CRESTS ARE EVERYWHERE



BEAUFORT FORCE 9 WIND SPEED: 41-47 KNOTS SEA: WAVE HEIGHT 23-32 FT, HIGH WAVES, DENSE STREAKS OF FOAM ALONG DIRECTION OF THE WIND, WAVE CRESTS BEGIN TO TOPPLE, TUMBLE AND ROLL OVER. SPRAY MAY AFFECT VISIBILITY

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Single View



(note here θ is used for azimuth)

Two views



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Now the solution collapses to two possible wind vectors


Some images of real-time and archived scatterometer and altimeter data: <u>https://manati.star.nesdis.noaa.gov/datasets/WindSATData.php</u>

More information on scatterometer tracks and scan swaths: http://www.eumetrain.org/data/4/438/navmenu.php?tab=2&page=3.0.0







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Altimetry

Main Topics

• Use of altimeters (e.g. JASON) to observe sea surface height

Altimetry

$\boldsymbol{\theta}$ = 0; looking at nadir

transmit a very short pulse (small fraction of a second measured in microseconds or nanoseconds)

therefore the pulse has some thickness and width (determined by gain, G_T)



speed of light = satellite height above sea surface ÷ time delay

Signal Processing

Time delay gives satellite-surface distance Variations in mass concentration in the earth will distort the surface.

an atmosphere will distort the surface

also tides will distort the surface

Definitions

geop: equipotential surface

geoid: geop at mean sea level (approximately an ellipsoid); essentially this is the hypothetical height of the ocean surface without tides, wind, etc.

geoid undulation: difference between reference ellipsoid and geoid (~60m) sea surface topography: difference between sea surface and geoid



Figure 14.1 A satellite altimeter measures the height of the satellite above the sea surface. When this is subtracted from the height r of the satellite's orbit, the difference is the height of the sea surface relative to the center of the Earth. The shape of the surface is due to variations in gravity, which produce geoid undulations, and to ocean currents, which produce the oceanic topography, the departure of the sea surface from the geoid. The reference ellipsoid is the best smooth approximation to the geoid.

Jason-3 Orbital Properties

| Semi-major axis | 7,714.43 km |
|------------------------------------|-------------------------------------|
| Eccentricity | 0.000095 |
| Inclination (non-sun-synchronous) | 66.04° (prograde) |
| Reference altitude (equatorial) | 1,336 km |
| Nodal period | 6,745.72 seconds (112'42" or 1h52') |
| Repeat cycle | 9.9156 days |
| Number of passes per cycle | 254 |
| Ground track separation at Equator | 315 km |
| Acute angle at Equator crossings | 39.5° |
| Longitude at Equator of pass 1 | 99.9242° |
| Orbital velocity | 7.2 km/s |
| Ground scanning velocity | 5.8 km/s |

High orbital altitude (relative to other microwave instruments closer to 500– 800 km) limits impacts of atmosphere (e.g. drag), which makes precise determination of orbit possible. This is necessary because very small errors in time delay can cause large errors in surface height! What information do we gain from altimetry?

- Significant wave height
- Ocean floor topography; underwater mountains cause slight bulges in surface topography (although this can be much better resolved with shipboard bathymetry)
- Ocean surface currents deduced from topography (e.g. where is there upwelling?)
- Forecasting of climate events (e.g. ENSO)





